

# 3D electron beam lithography

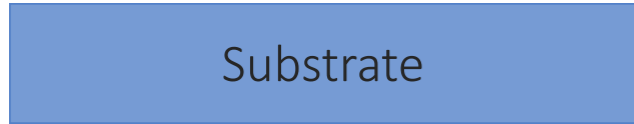


- The need of 3D nanostructures as such as **lenses, blazed gratings, diffractive optical elements, and holograms** is increasing
- **3D lithography** is more **challenging** than binary lithography
  - Low contrast resist processes and accurate adjustment of exposure doses are of utmost importance
    - **Proximity effects modify CD and height of a structure**
    - **Resist development process affects the lateral development**
- **BEAMER** offers a model-based 3D PEC that combines correction for electron scattering proximity and resist development process effects

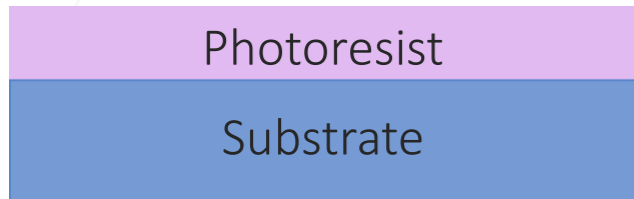
- 2D lithography vs 3D lithography
- 3D e-beam lithography correction
  - Contrast Curve
  - BEAMER Shape Correction
  - Surface roughness
- Summary

# General steps in lithography

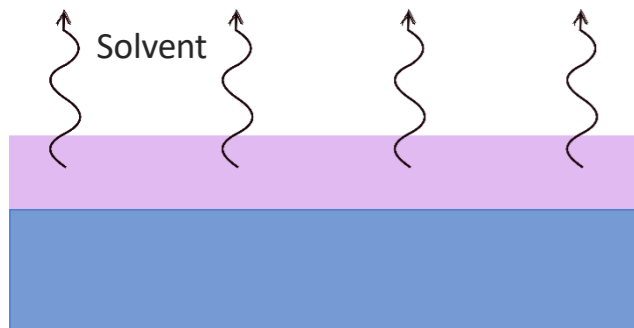
- Preprocessing



Cleaning, Baking, Adhesion Promoter

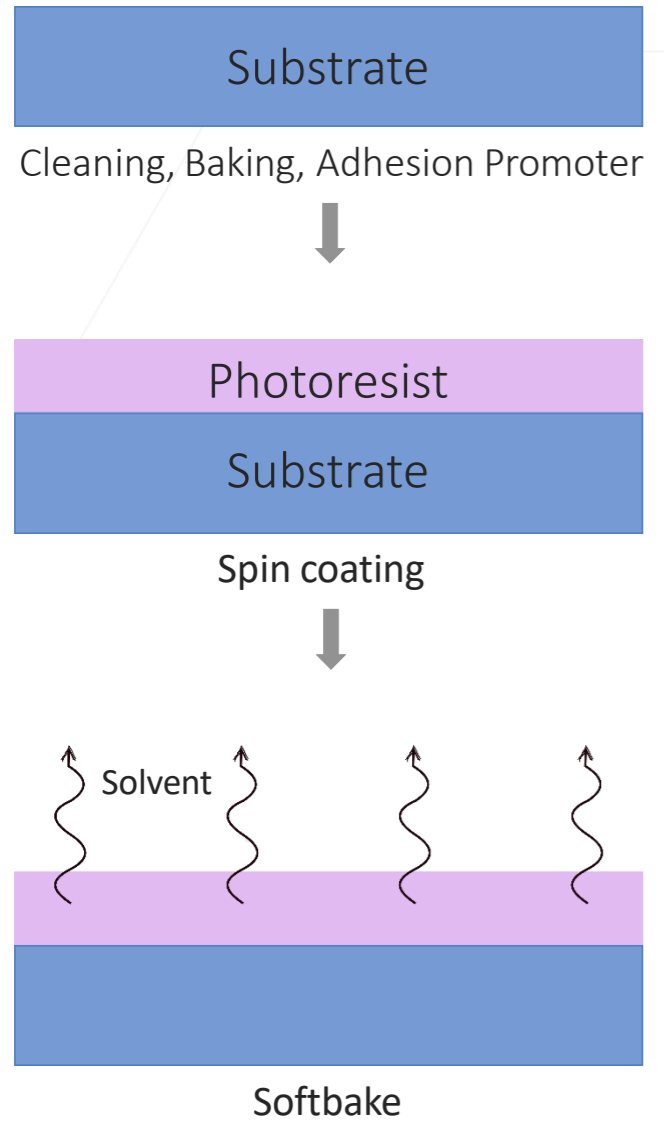


Spin coating

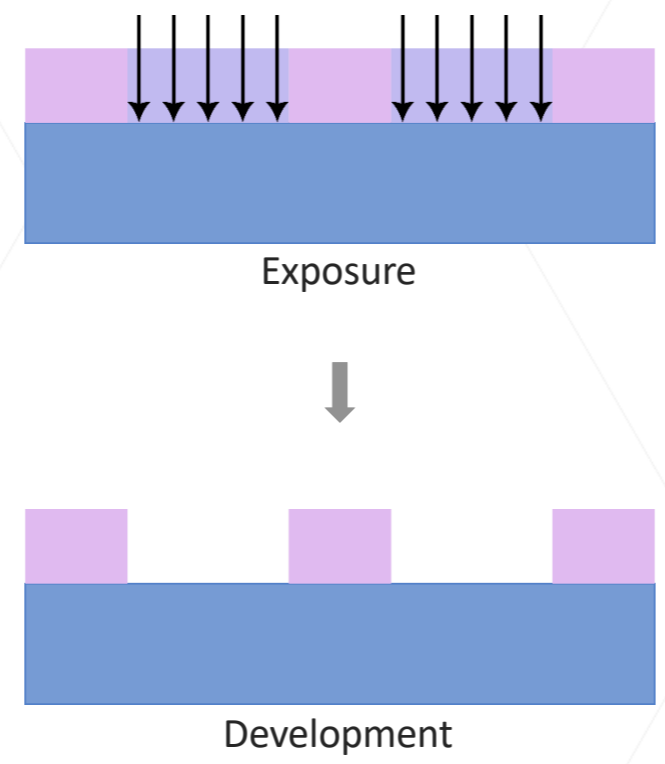


Softbake

- Preprocessing

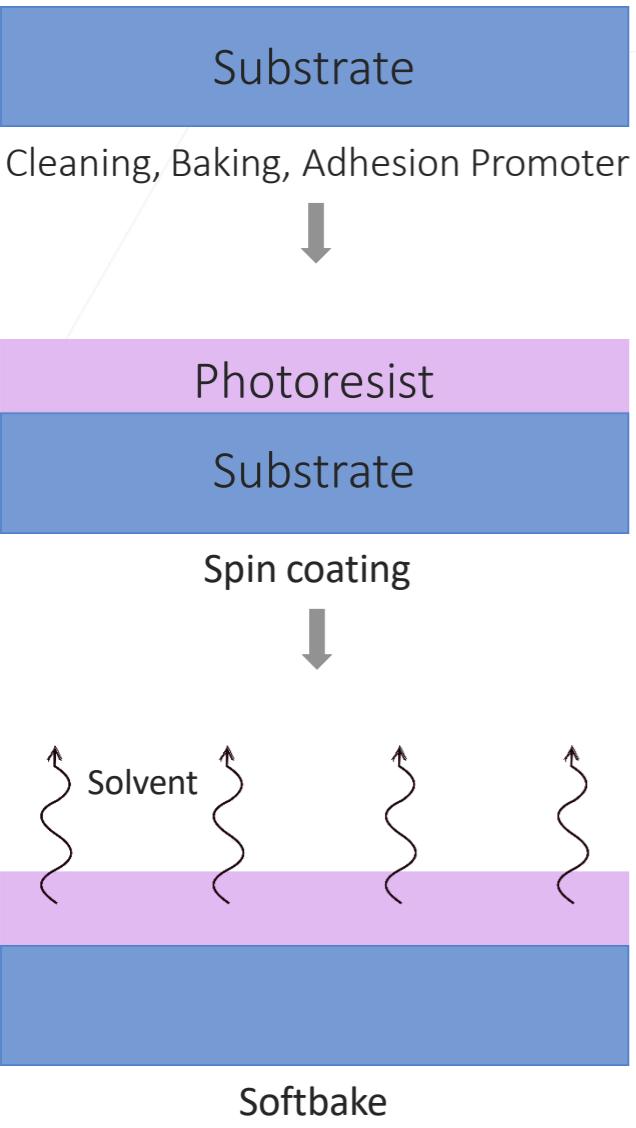


## 2D Litho

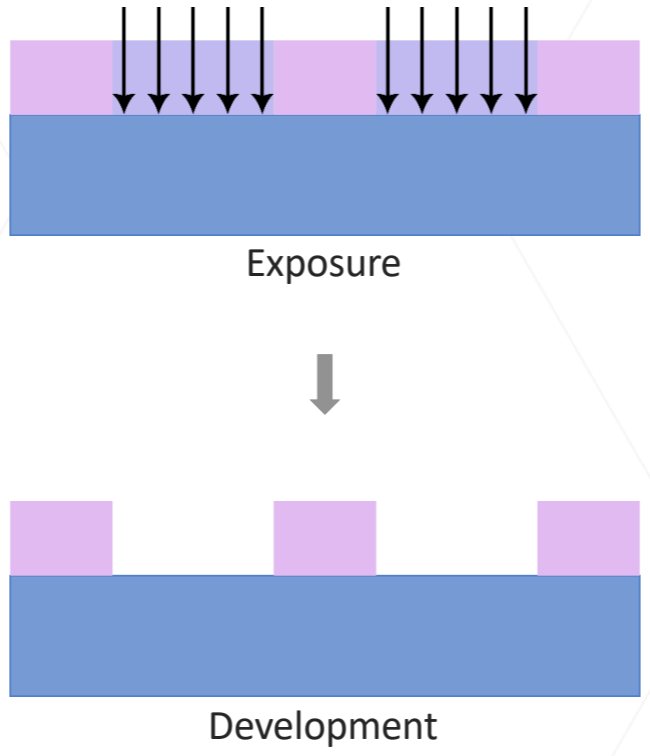


# 2D vs 3D Exposures

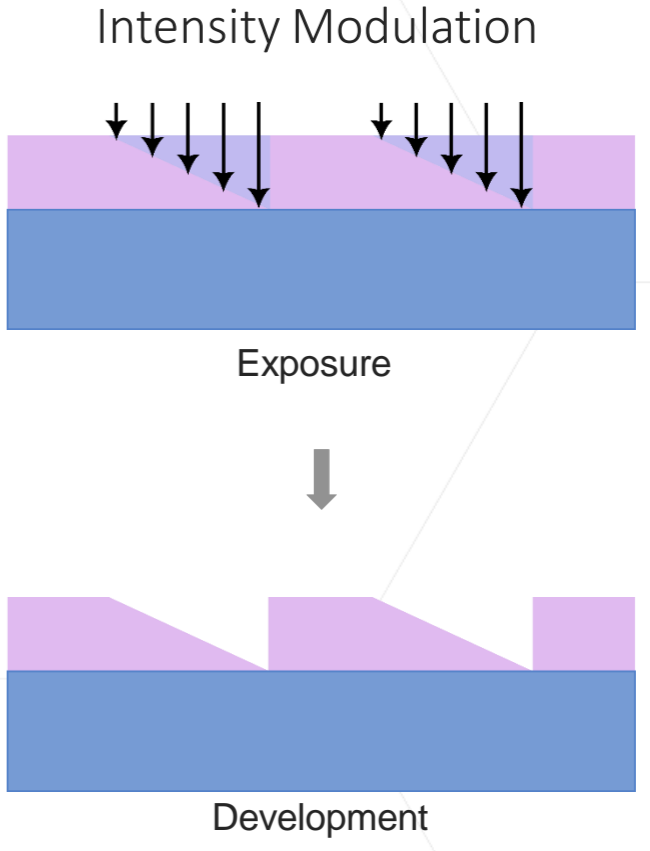
- Preprocessing



## 2D Litho

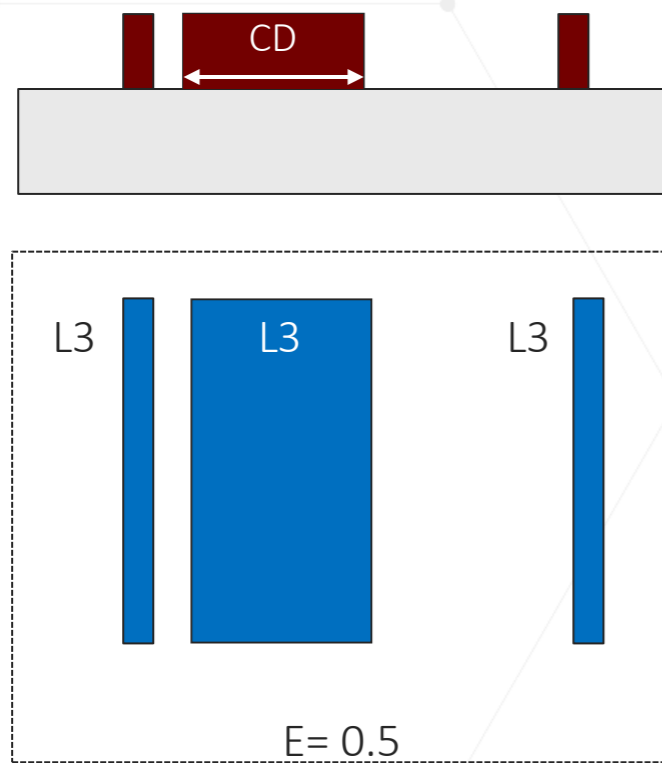


## 3D Litho



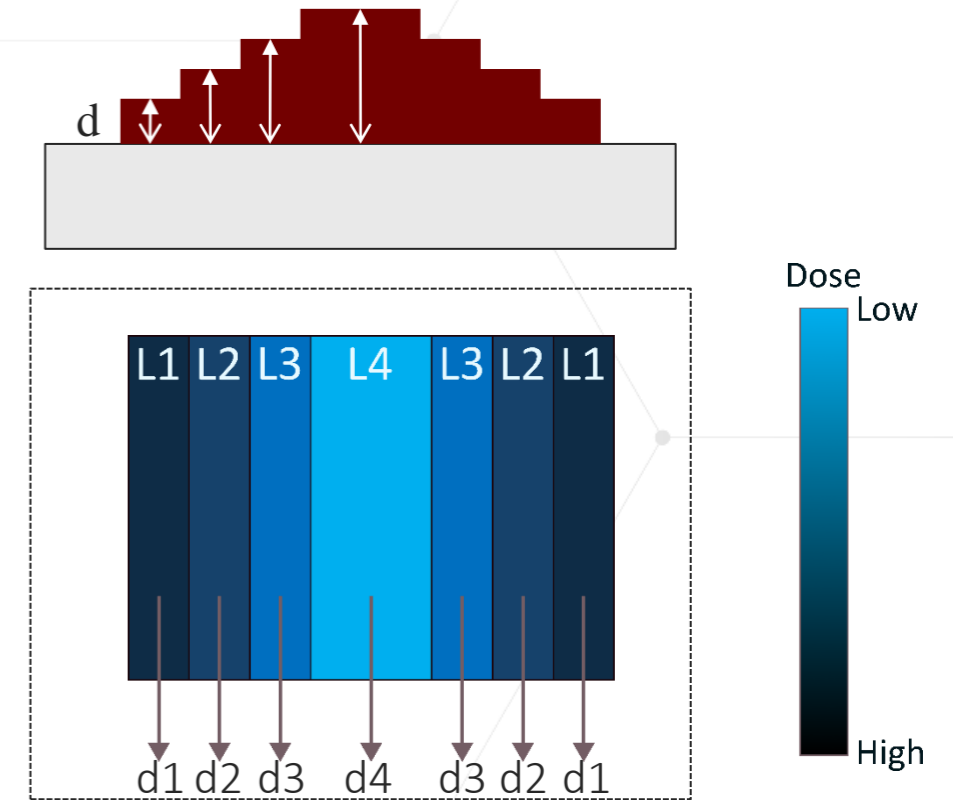
# 2D vs 3D Correction

## 2D Correction



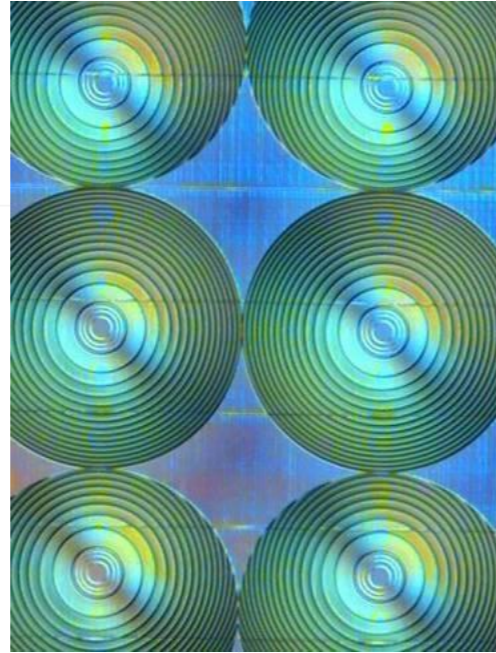
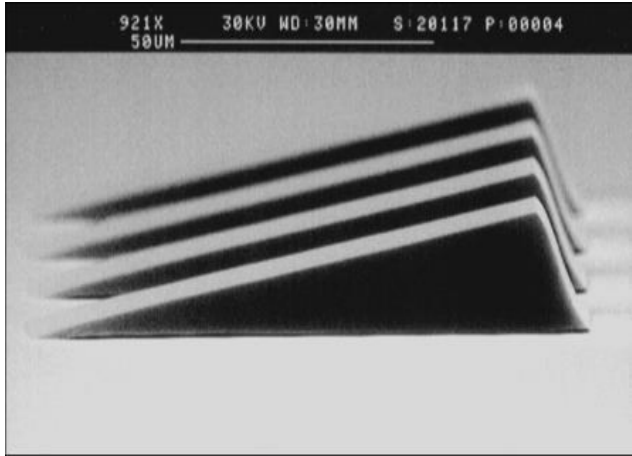
Target: Require absorbed energy at all feature edges to have same value

## 3D Surface PEC

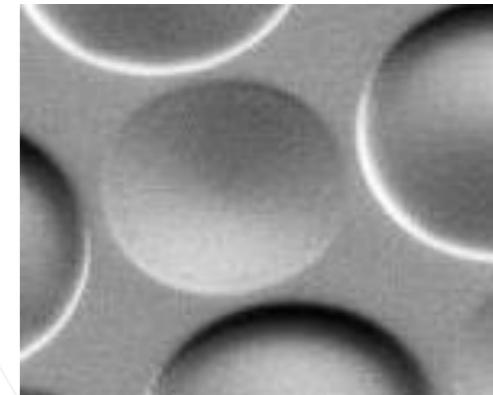
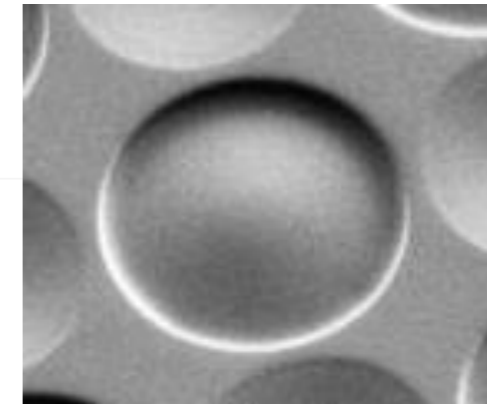
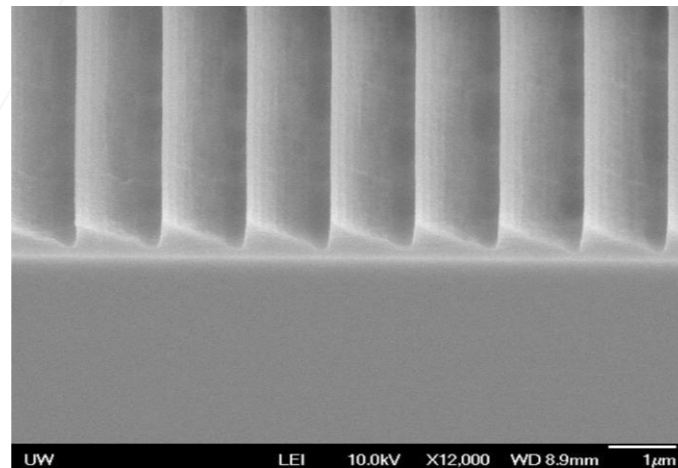
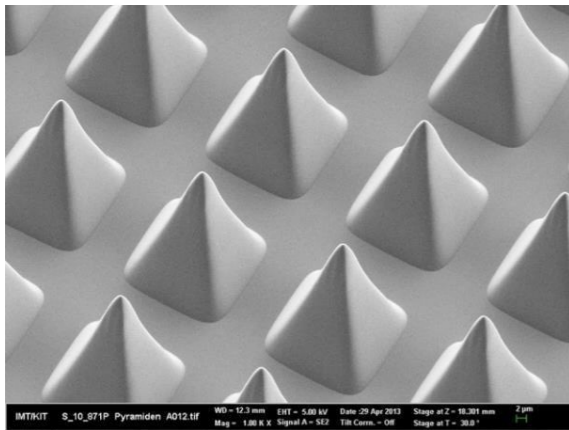
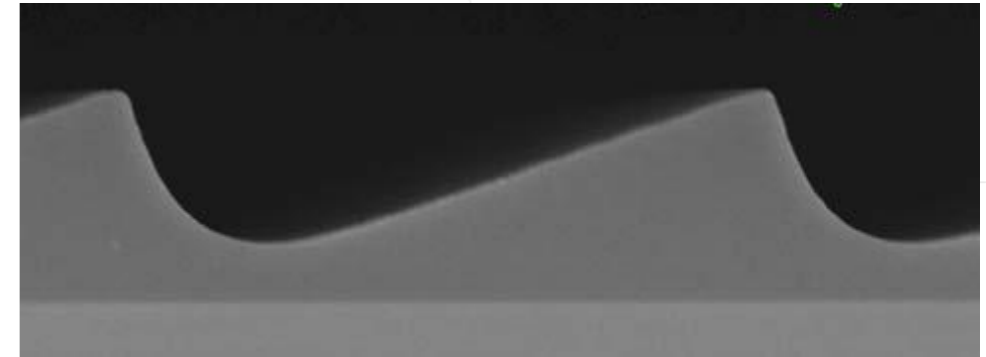
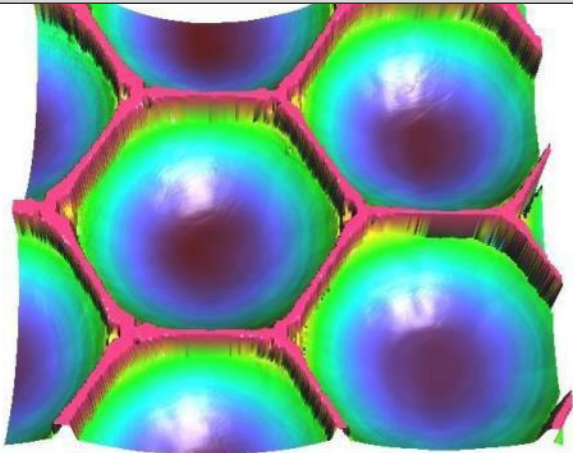
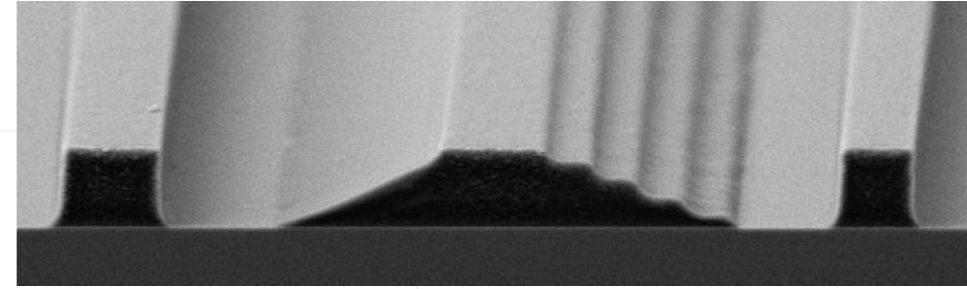


Target: defined resist thickness for all surface level

# 3D Lithography Examples

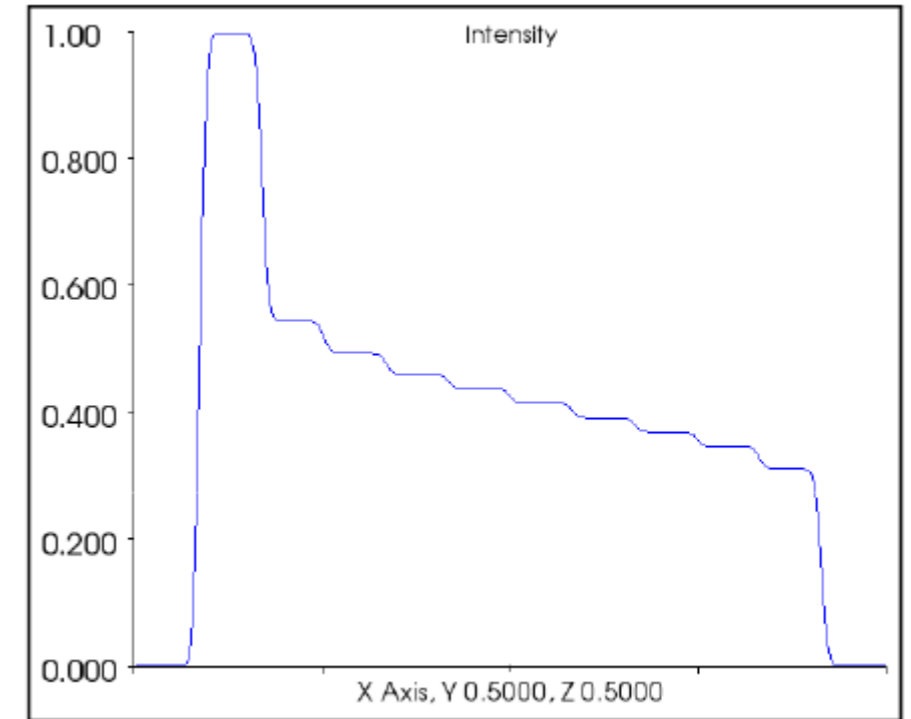
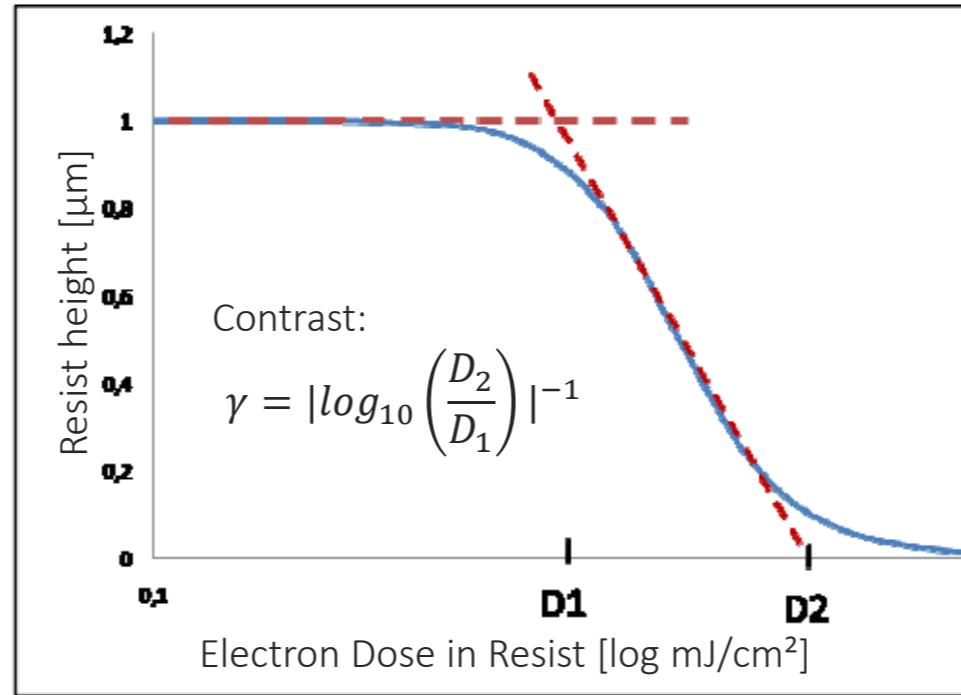
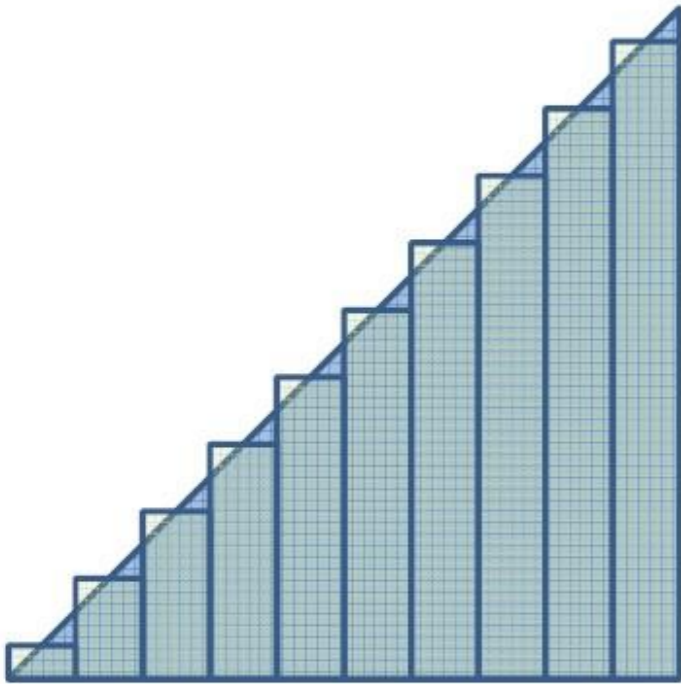


- Micro Lenses
- Lens Arrays
- Blazed Gratings
- Holograms
- Integrated Optics
- Prisms
- MEMS





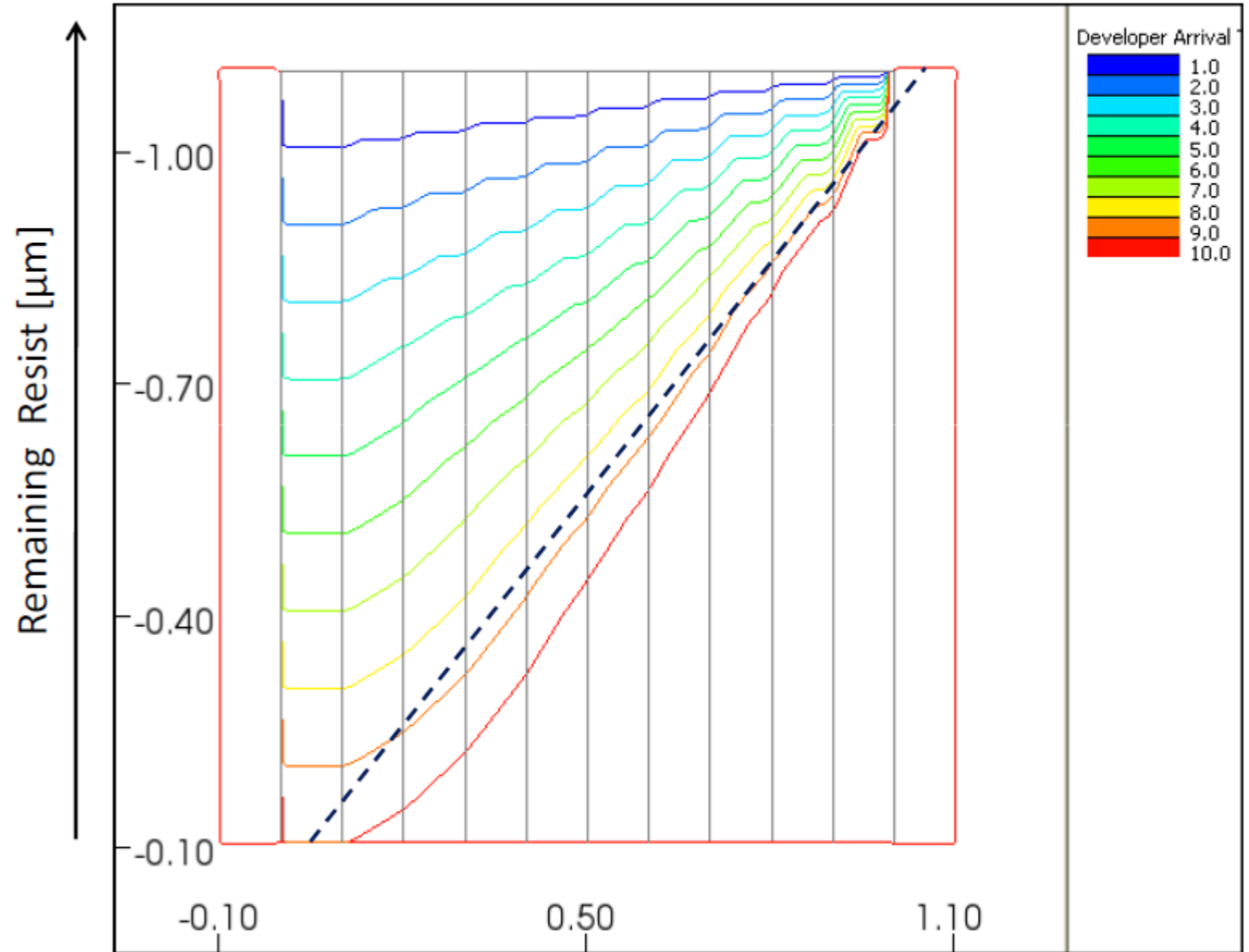
# Challenges in 3D Lithography



# Challenges in 3D Lithography

Complex combination of:

- Exposure proximity effect
- Resist sensitivity curve
- lateral development effect

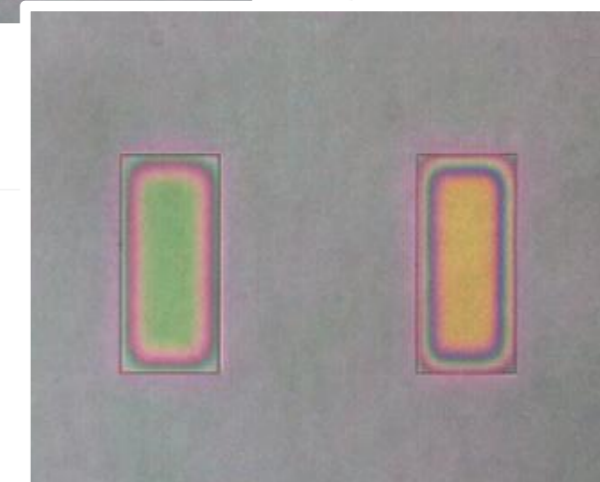
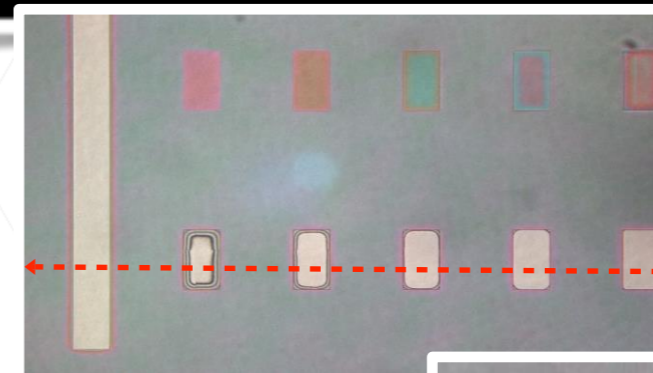
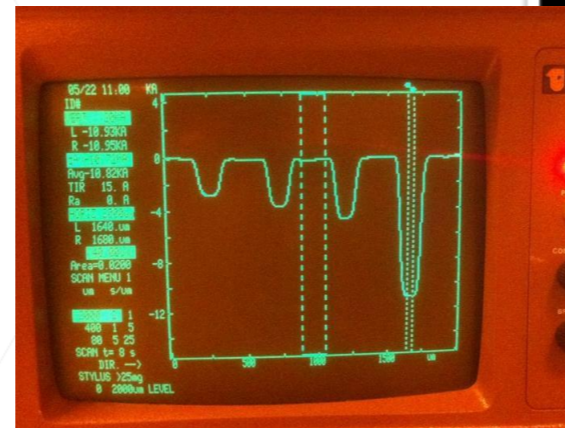
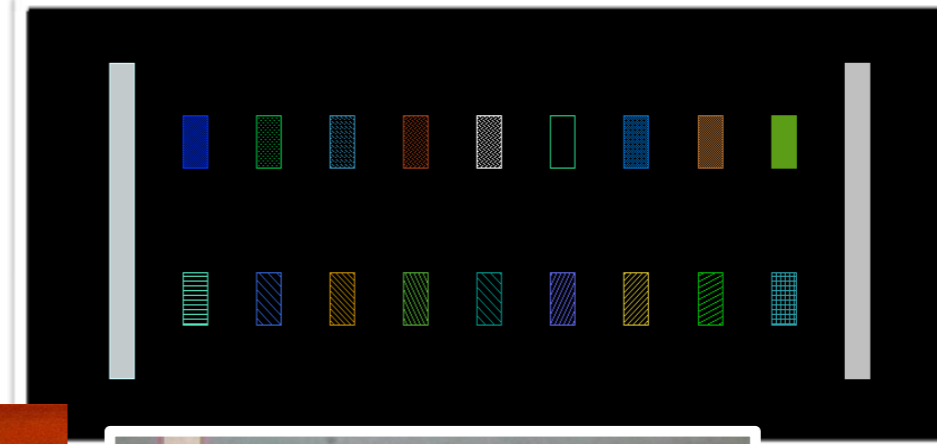


- 2D lithography vs 3D lithography
- 3D e-beam lithography correction
  - Contrast Curve
  - BEAMER Shape Correction
  - Surface roughness
- Summary

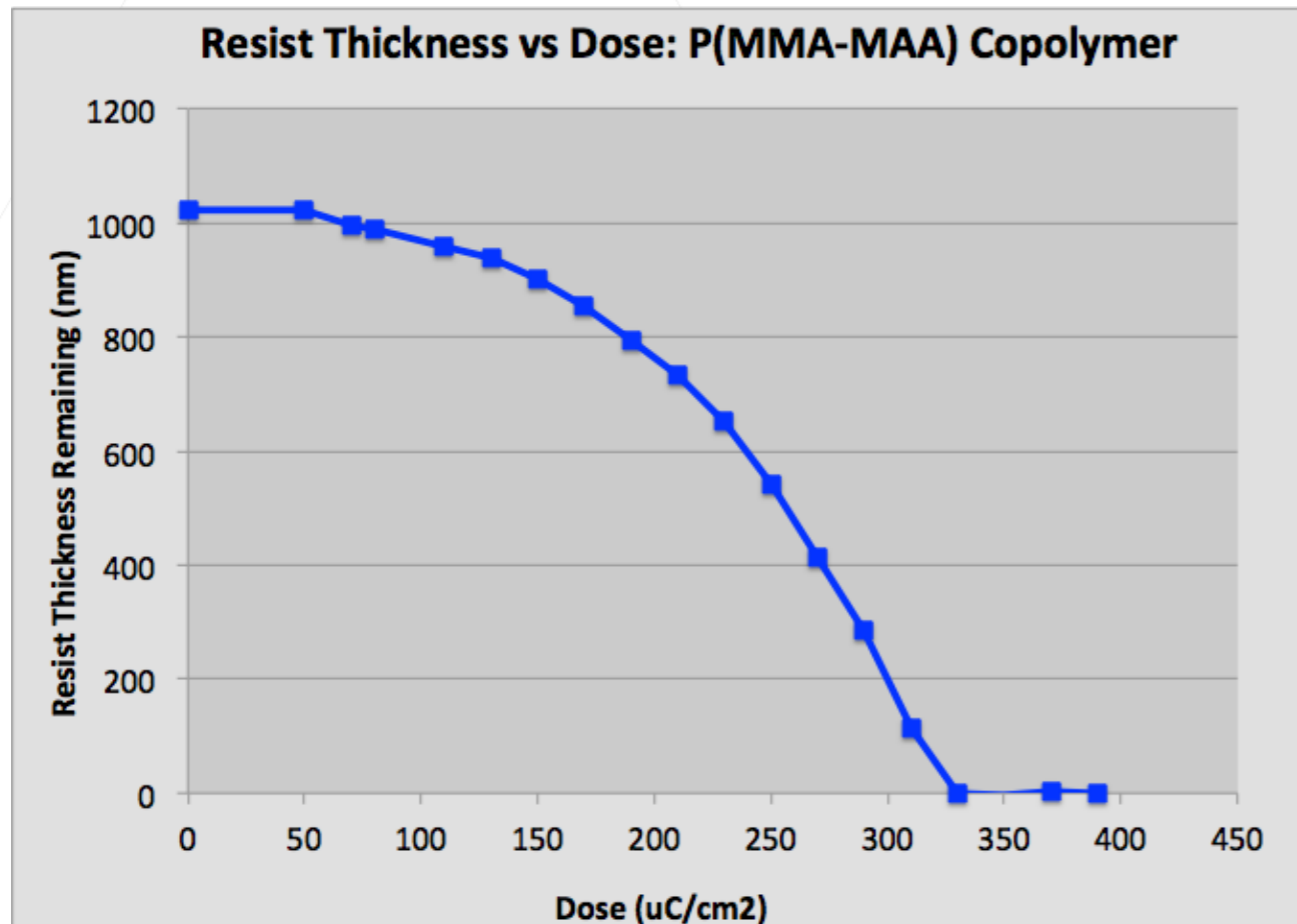
# Measuring a Contrast Curve

Here is what to do:

- 18 (or more) doses, each block:
  - Width  $> 3 \times$  Beta (want flat region)
  - Length easy to measure with profilometer
  - Separated to not interact
  - We used  $150 \mu\text{m} \times 300 \mu\text{m}$



- Resist Thickness vs Dose values are inputs into BEAMER's 3D-PEC Module

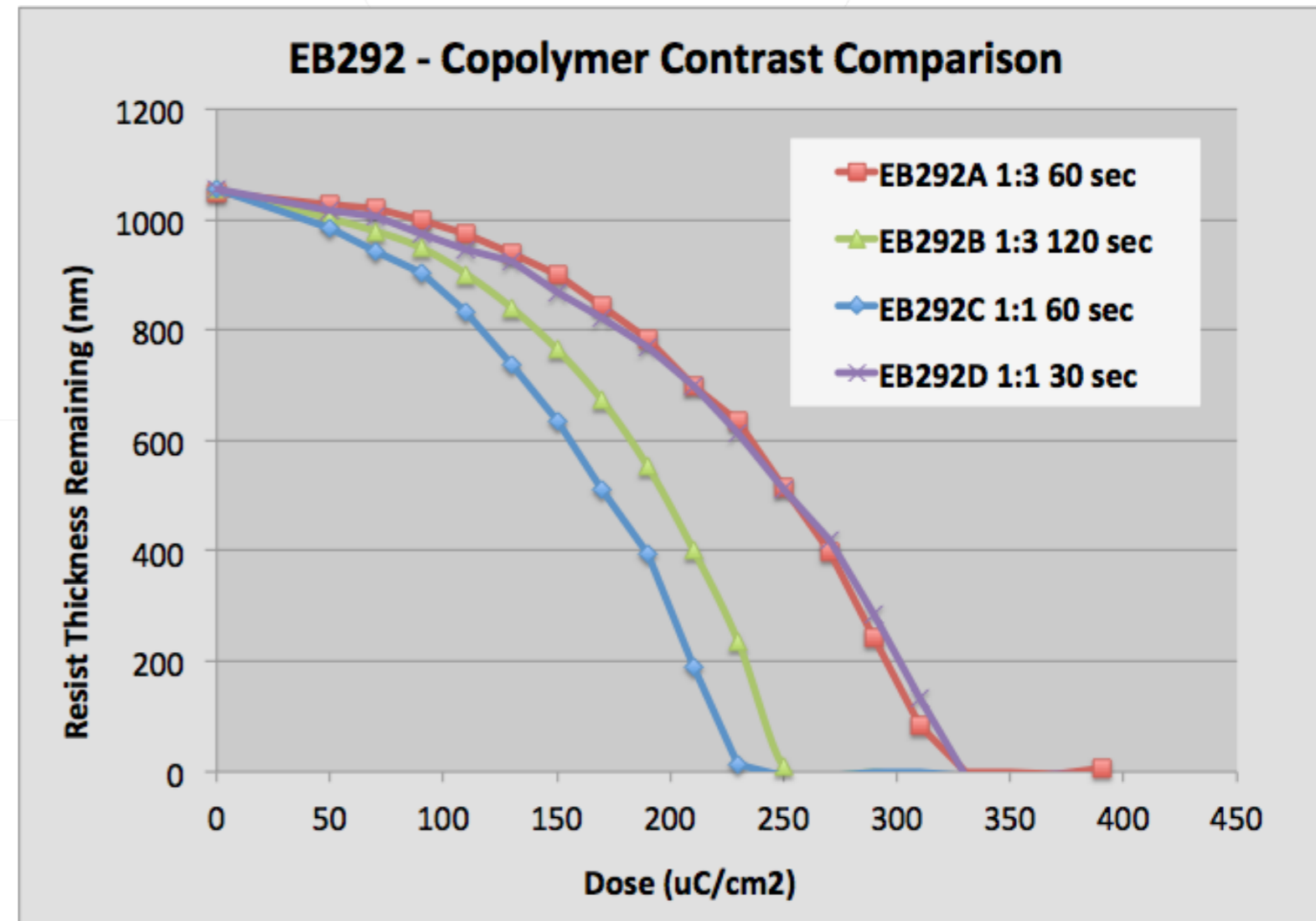


Layer	height [um]	ht height	ht Dose	Grey Value
1	5.395552	0.600	0.24217	61.9956
2	5.377258	0.597	0.243697	62.3863
3	5.358964	0.595	0.245233	62.7796
4	5.340671	0.593	0.246767	63.1723
5	5.322377	0.591	0.248296	63.5637
6	5.304083	0.589	0.249834	63.9575
7	5.285789	0.587	0.251382	64.3538
8	5.267495	0.585	0.252934	64.7525
9	5.249201	0.583	0.254497	65.1513
10	5.230908	0.581	0.256049	65.5486
11	5.212614	0.579	0.25761	65.9483
12	5.194320	0.577	0.259181	66.3504
13	5.176026	0.575	0.260762	66.755

Grey Value	Resist thickness [um]
0.000000	8.905130
1.000000	8.814754
2.000000	8.728659
3.000000	8.646438
4.000000	8.567715
5.000000	8.492141
6.000000	8.419395
7.000000	8.349183
8.000000	8.281231
9.000000	8.215291
10.000000	8.151136
11.000000	8.088556

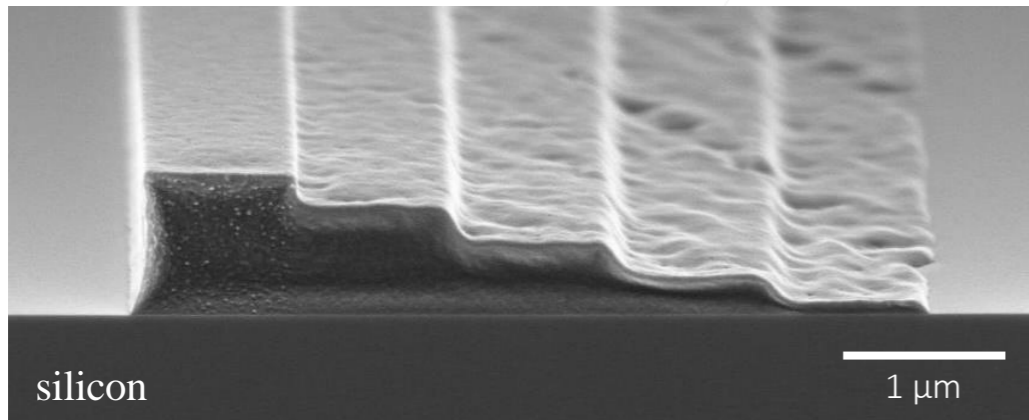
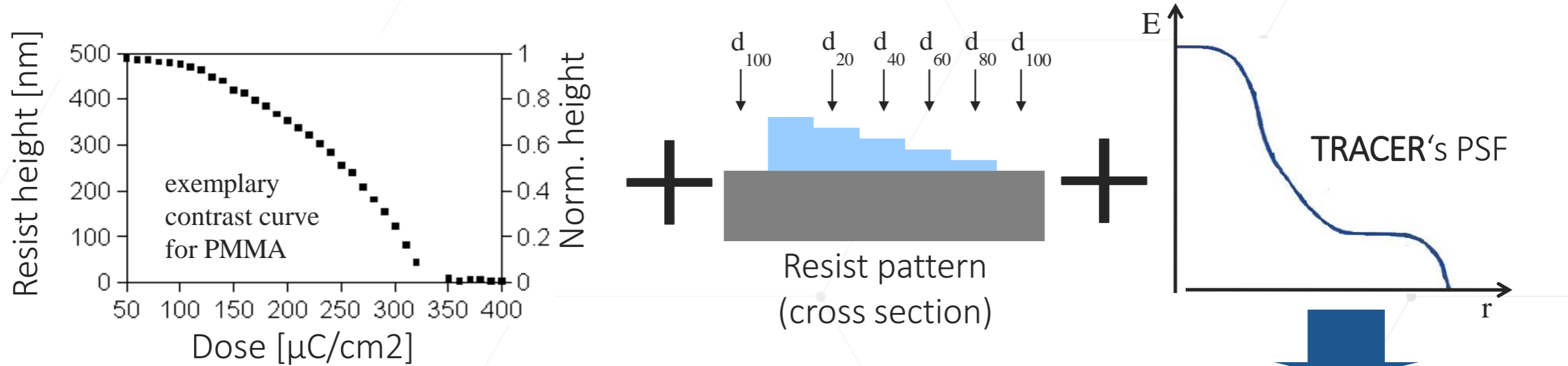
Development Rate Model  
Model:  Dev. Rate  Mack 4  
Dill C Absorption:   
RMS: 5.378 um  
Run Fit...

- Using common materials
  - P(MMA-MAA 8.5%) Copolymer, EL11
  - Bake 180C, 3 minutes
  - ~ 1000 nm thickness
  - Development 1:3 MIBK:IPA 60 s + IPA rinse for 15 s
- Want lower contrast
- The lowest dose is limited by hardware, so you don't want too much sensitivity or you won't achieve shallow depths!

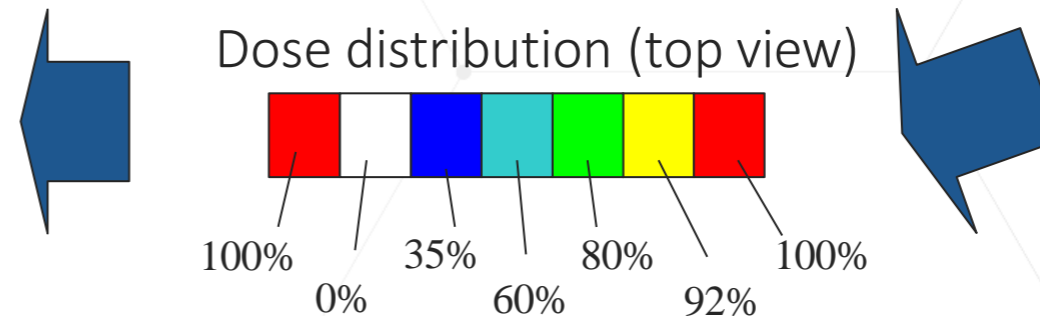


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## Process chain for multilevel resist pattern (greyscale electron-beam lithography)



1050 nm high PMMA resist after development

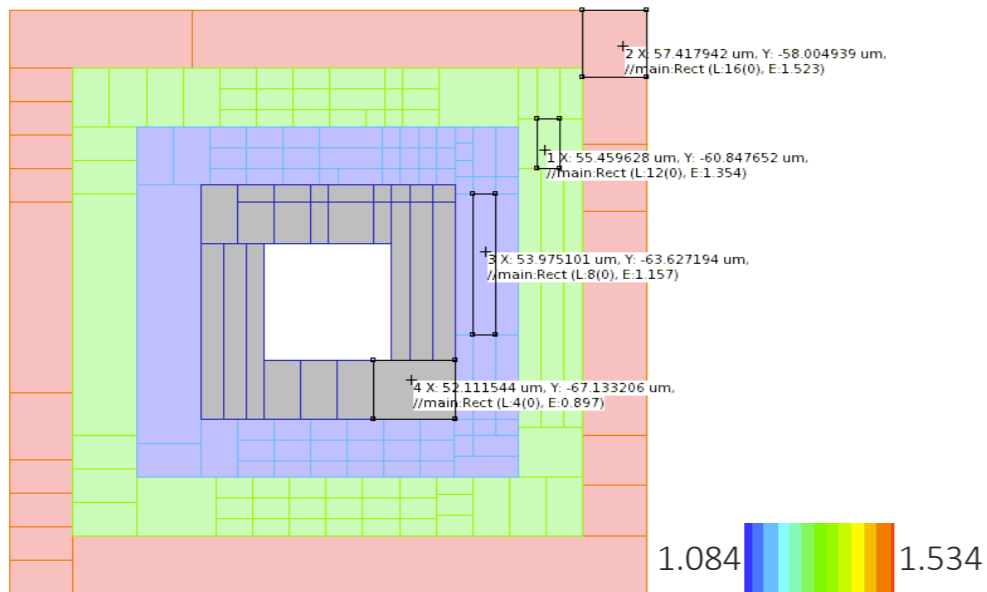




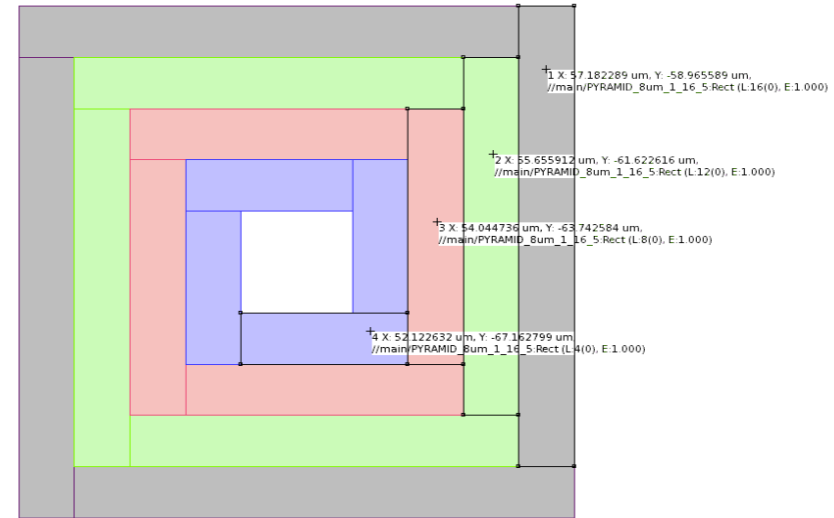
## Cross-section Desired



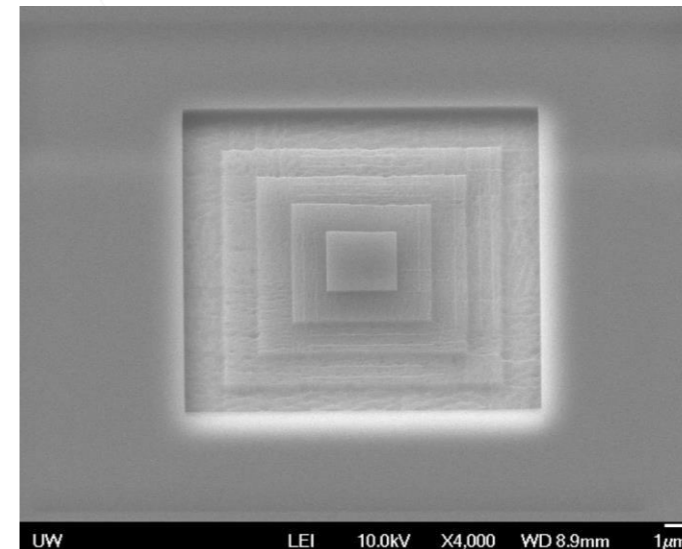
## 3D PEC Computes Exposure Doses

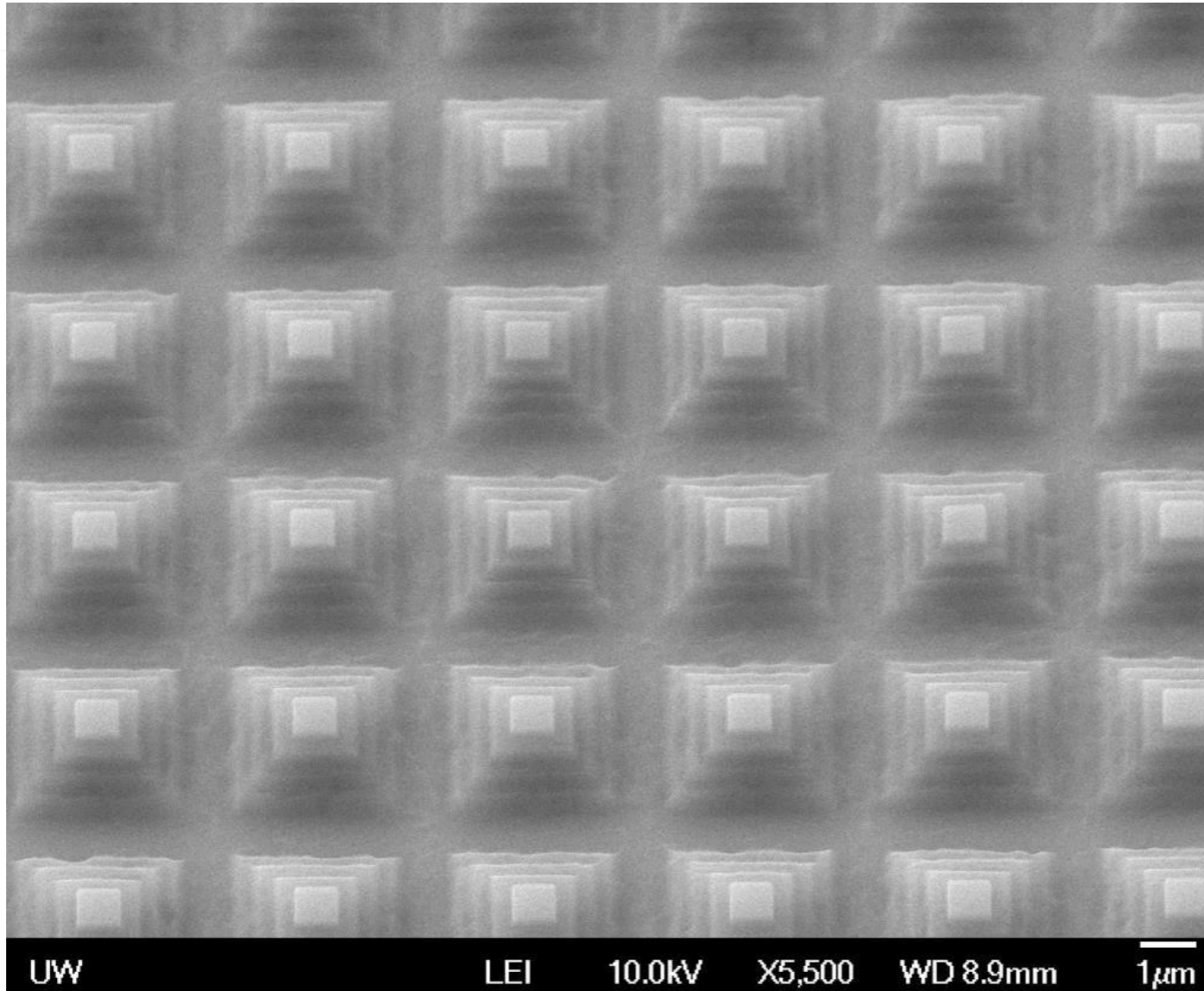


## CAD Drawing (Colors are layers)



## Expose and Develop

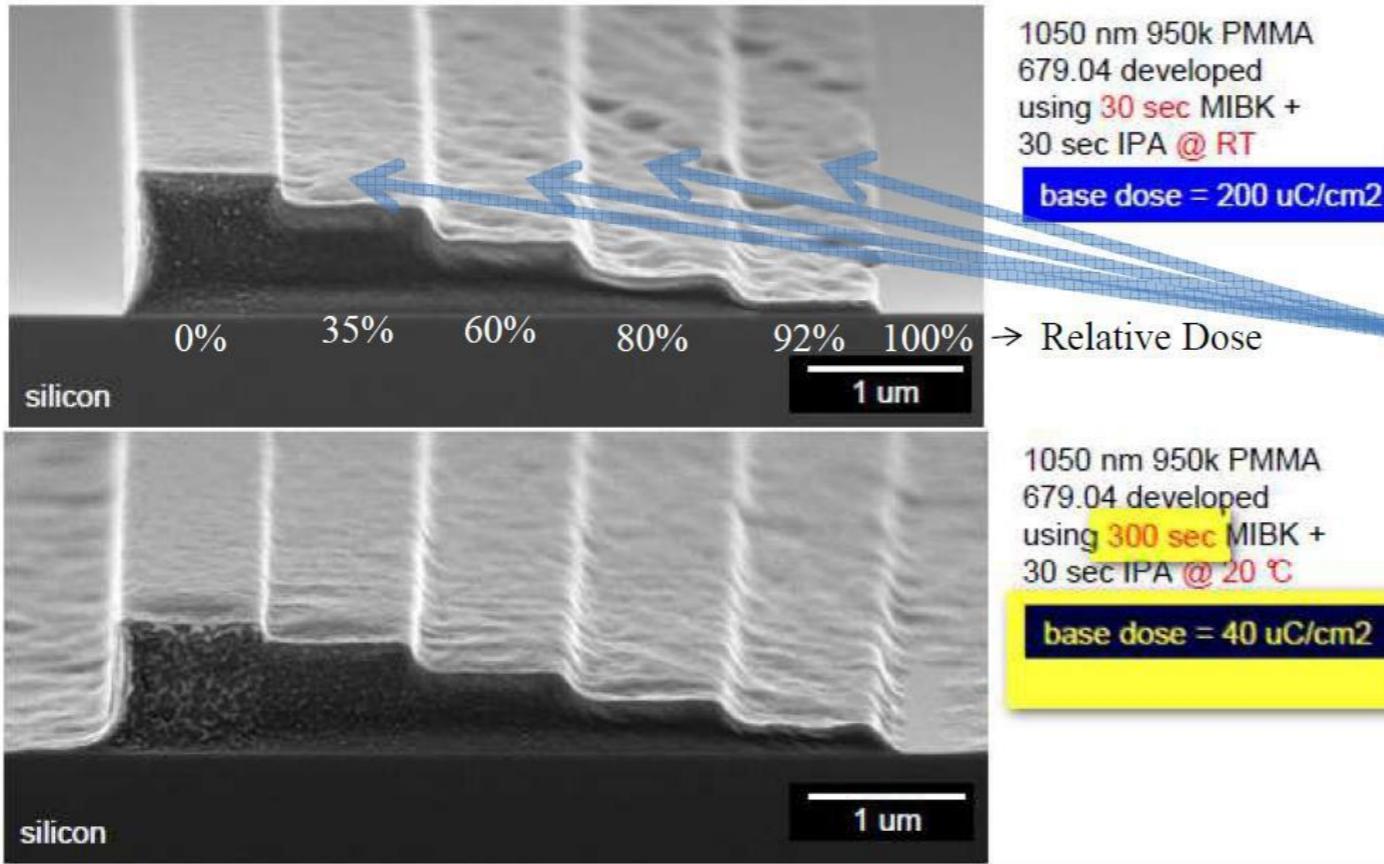




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## Dose dependence of layer (surface) roughness

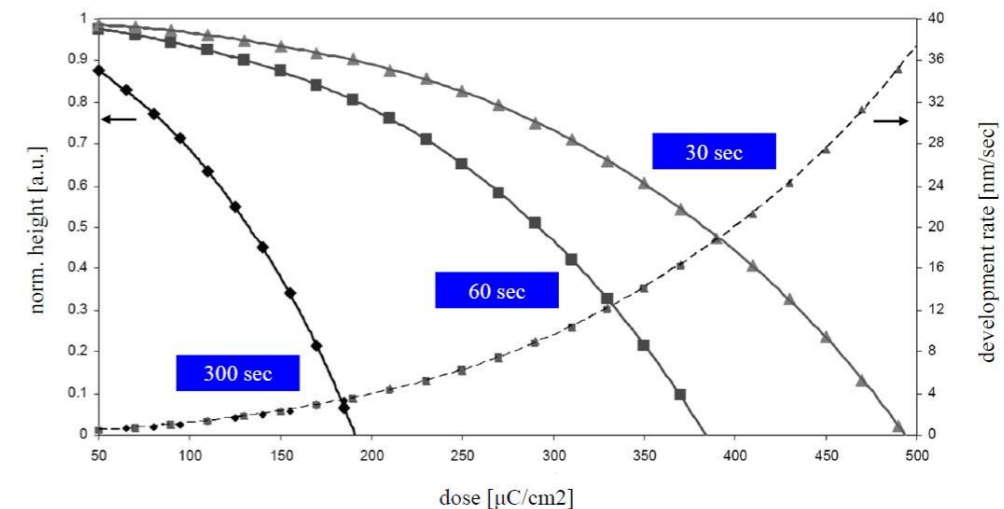
Exposure of standard test pattern using different contrast curve



Surface roughness  
increasing with  
higher exposure  
dose

## Dose-depth correlation for 1 μm PMMA 950k resist

Contrast curve for different development times (using MIBK at 20 °C) and resulting development rate



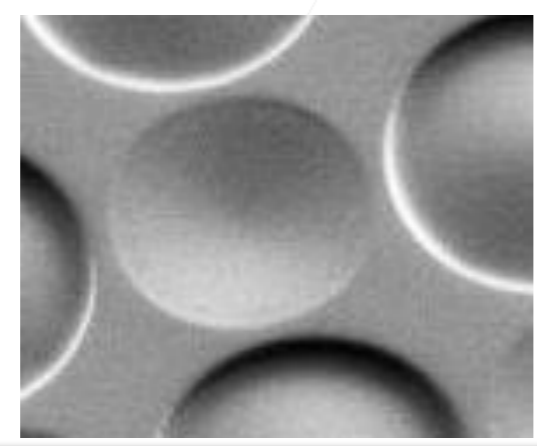
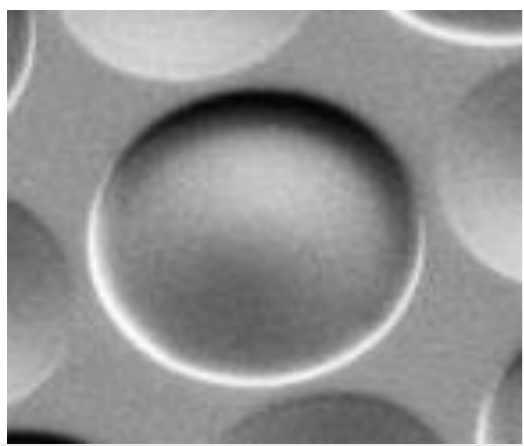
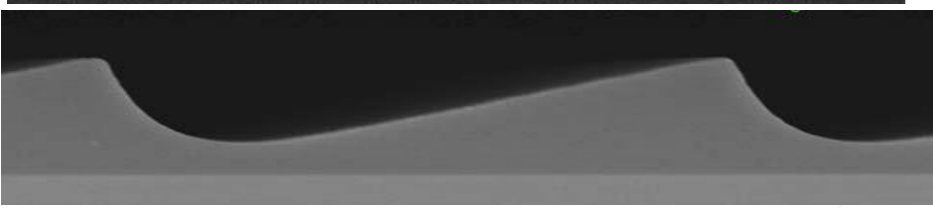
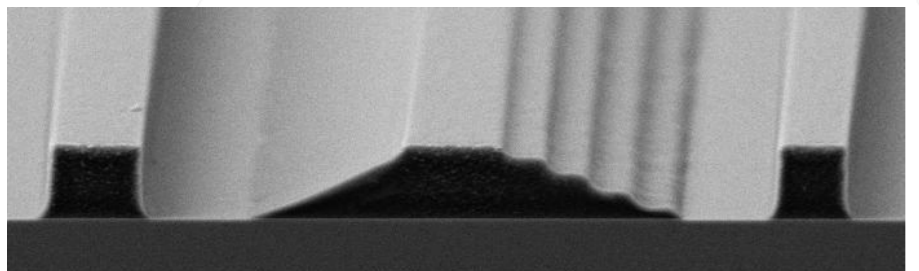
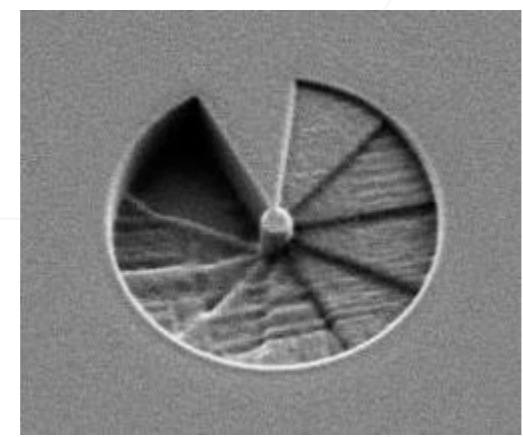
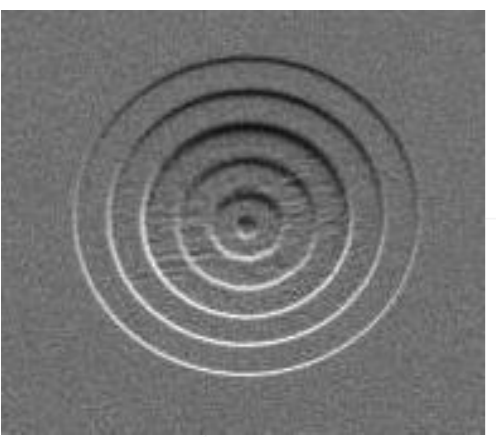
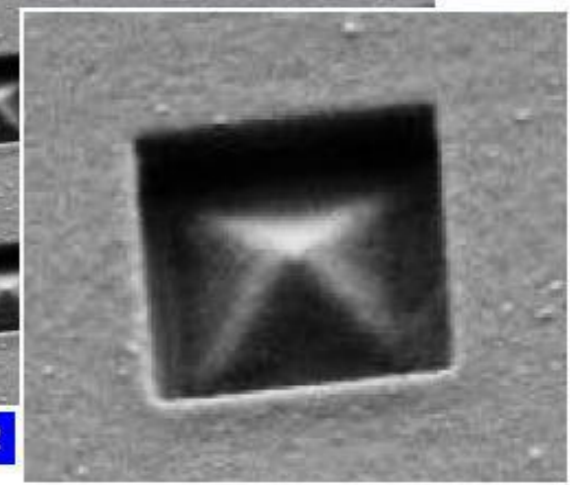
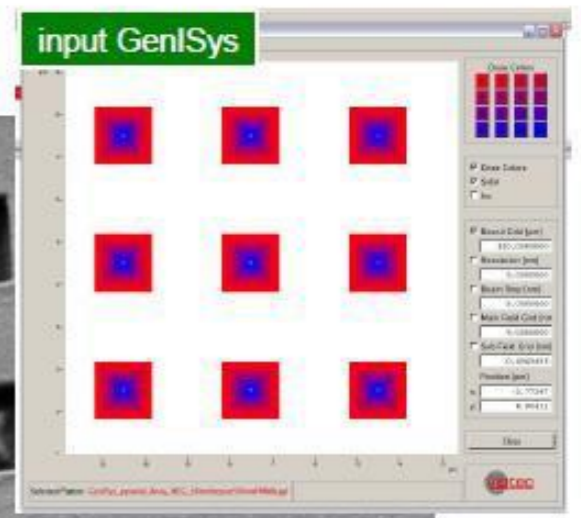
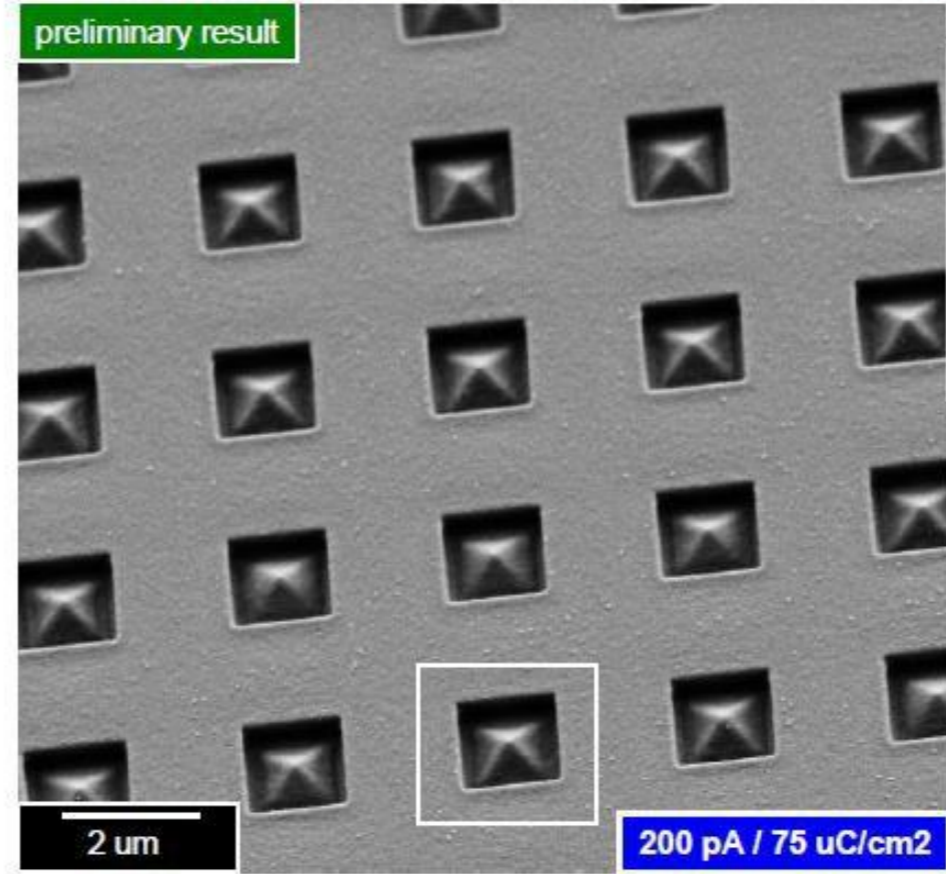
- Longer development times result in lower base doses, leading to reduced roughness
- Multipass (for high doses) helps for reducing roughness



## 3-D e-beam lithography

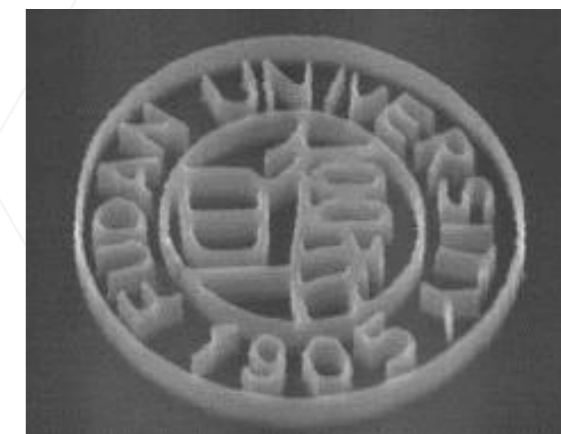
520 nm 950k PMMA / Standard development at PSI

preliminary result





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2  $\mu$ m | EHT = 10.00 kV | Signal A = InLens | Date :11 May 2015  
|-----| | WD = 5.1 mm | Mag = 5.00 K X | Time :15:25:39 | 





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- The 3D PEC module in **BEAMER** enables to control the resist thickness
- Contrast curve maps resist thickness to energy, and the PSF corrects the proximity effect
- The resist development process plays a key role for thickness control and smooth surface
- Longer development times, low doses and multipass on high doses reduces surface roughness
- Temperature treatment after development smoothens the surface

## 2012

- A. Schleunitz, V. Guzenko, C. Spreu, M. Vogler, H. Atasoy, G. Gruetzner, and H. Schiff, **Enhancing 3-D structural variety by combination of electron-beam and nanoimprint lithography with thermal reflow**, 56th International Conference on Electron, Ion, and Photon Beam Technology and Nanofabrication (EIPBN 2012), Waikoloa, USA, May 29 - June 1, 2012 (Invited Poster Presentation)
- A. Schleunitz, V.A. Guzenko, C. Spreu, M. Messerschmidt, H. Atasoy, M. Vogler, and H. Schiff, **3-D microfabrication based on a glass transition temperature selective thermal reflow - towards optical applications**, 56th International Conference on Electron, Ion, and Photon Beam Technology and Nanofabrication (EIPBN 2012), Waikoloa, USA, May 29 - June 1, 2012

## 2011

- V.A. Guzenko, N. Belic, N. Unal, A. Schleunitz, and C. David, **Modeling and correction of lateral resist development effects in 3-D e-beam lithography**, 24th International Microprocesses and Nanotechnology Conference (MNC 2011), Kyoto, Japan, October 24-27, 2011, 27P-11-66
- V.A. Guzenko, N. Belic, N. Unal, A. Schleunitz, and C. David, **Modeling and correction of lateral resist development effects in 3-D e-beam lithography**, 24th International Microprocesses and Nanotechnology Conference (MNC 2011), Kyoto, Japan, October 24-27, 2011, 27P-11-66
- A. Schleunitz, V.A. Guzenko, A. Schander, M. Vogler, and H. Schiff, *Selective profile transformation of electron-beam exposed multilevel resist structures based on a molecular weight dependent thermal reflow*, J. Vac. Sci. Technol. B **29**(6) (2011) 06F302; DOI:10.1016/j.mee.2010.12.046 (4 pp).
- A. Schleunitz, V. Guzenko, C. Spreu, M. Vogler, H. Atasoy, G. Gruetzner, and H. Schiff, **Enhancing 3-D structural variety by combination of electron-beam and nanoimprint lithography with thermal reflow**, 37th International Conference on Micro- and Nano-Engineering (MNE 2011), Berlin, Germany, September 19-23, 2011, P-LITH-071 (Best Poster Award Winner in category Lithography and Systems)

## 2010

- A. Schleunitz and H. Schiff, *Fabrication of 3-D nanoimprint stamps with continuous reliefs using dose-modulated electron beam lithography and thermal reflow*, J. Micromech. Microeng. **20** (2010) 095002; DOI:10.1088/0960-1317/20/9/095002.
- N. Unal, D. Malahu, O. Raslin, D. Ritter, C. Sambale and U. Hofmann, *Third Dimension of Proximity Effect Correction (PEC)*, J. Microelectronic Engineering Volume 87, Issue 5-8, May 2010, pages 940-942

# Thank You!

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